

# HOUSTON BUSINESS JOURNAL

## THE LIST

# WEALTH MANAGEMENT COMPANIES UNDER \$1M

RANKED BY 2021 AUM FOR HOUSTON CLIENTS

	Practice name Website	Location Phone	2021 AUM for Houston clients	Assets per local client	Investment minimum	Local clients	Top local executive(s)	Associated company <sup>†</sup> Year founded locally
1	<b>Moody Bank: Wealth Management &amp; Trust</b>  moodybank.com/managing-wealth	2302 Postoffice St. Galveston, TX 77550 833-690-9851	\$4.1 billion	\$18.72 million	no minimum	219	Wayne Madsen, EVP/director of wealth management and trust wmadsen@moodybank.com	Moody Bank 1927
2	<b>Frost Wealth Advisors</b>  frostbank.com	1700 Post Oak Blvd., 4th Fl. Houston, TX 77056 713-388-7924	\$3.47 billion	\$1.96 million	\$50,000	1,772	Garrick Behelfer, VP	Frost Bank 1977
3	<b>Linscomb &amp; Williams</b>  linscomb-williams.com	1333 W. Loop South, #1500 Houston, TX 77027 713-840-1000	\$2.5 billion	\$2.51 million	\$250,000	1,033	J. Harold Williams, chairman	Independent firm 1971
4	<b>Pennington Wealth Management</b>  penningtonwealth.com	11 Greenway Plz., #3000 Houston, TX 77046 713-332-4422	\$1.4 billion	\$1.5 million	\$500,000	1,028	Darrell Pennington, managing partner darrell.r.pennington@ampf.com	Ameriprise Financial Services LLC 1995
5	<b>The Fox Group</b>  fa.ml.com/fox_group	800 Capitol St., #1900 Houston, TX 77002 713-658-1275	\$1.3 billion	\$4.5 million	\$500,000	250	J. Michael Fox, managing director/wealth management advisor jmichael.fox@ml.com	Merrill Lynch 1998
6	<b>Eagle Global Advisors</b>  eagleglobal.com	1330 Post Oak Blvd., #3000 Houston, TX 77056 713-952-3550	\$1.2 billion	\$5 million	\$500,000	400	Eddie Allen, senior partner/portfolio manager EAllen@eagleglobal.com	Independent firm 1996
7	<b>IMG Financial Group Inc</b>  imgfinancialgroup.com	4550 Post Oak Pl., #125 Houston, TX 77027 713-622-1150	\$1.19 billion	\$345,000	no minimum	4,000	Jeff Applebaum, president/managing partner Jeff.applebaum@imgfinancialgroup.com	IMG Financial Group, Inc 1981
8	<b>Luminate</b>  LuminateMyLife.com	3200 Southwest Fwy., #3100 Houston, TX 77027 832-416-8400	\$1 billion	\$784 million	no minimum	450	Audree Begay, managing partner/private wealth advisor	Ameriprise Financial Services LLC 2014
9	<b>Bogart Wealth</b>  bogartwealth.com	1725 Hughes Landing Blvd., #1225 The Woodlands, TX 77380 703-570-8651	\$914.01 million	\$1.99 million	no minimum	460	James Bogart, president/CEO	Independent firm 2016
10	<b>Ascension Capital Advisors</b>  ascensioncapital.com	4900 Woodway Dr., #1150 Houston, TX 77056 713-952-6900	\$655.65 million	\$4.45 million	no minimum	120	Paul Thompson, founder/president Paul Thompson, Jr, managing director pauljr@ascensioncapital.com	Independent Firm 1997
11	<b>Ruszkowski-Fisher Group</b>  fa.ml.com/the_rf_group	17225 El Camino Real, #200 Houston, TX 77058 281-212-9132	\$570 million	\$2.81 million	\$250,000	210	Kevin Ruszkowski, Kevin.ruszkowski@ml.com Micheal Fisher, SVP, wealth management advisors micheal_fisher@ml.com	Merrill Lynch 2005
12	<b>Rhame &amp; Gorrell Wealth Management</b>  rgwealth.com	1330 Lake Robbins Dr., #360 The Woodlands, TX 77380 832-789-1100	\$537.82 million	\$1.21 million	no minimum	443	Jeffrey Rhame, president/managing director	Independent Firm 2016
13	<b>Legacy Asset Management Inc.</b>  legacypasset.com	3411 Richmond Ave., #750 Houston, TX 77046 713-355-7171	\$505.54 million	\$0	no minimum	535	Joseph Birkofer, principal jbirkofer@legacypasset.com	Independent firm 1998
14	<b>Carlton Hofferkamp &amp; Jenks Wealth Management</b>  chwealthmanagement.com	10200 Grogan's Mill Rd., #340 The Woodlands, TX 77380 281-298-2700	\$463.25 million	\$1.62 million	no minimum	286	Jim Carlton, Managing Director jim@chwealthmanagement.com	Independent firm 2010
15	<b>Dawson Financial Services</b>  dfs.nm.com	1 Riverway, #900 Houston, TX 77056 713-333-9910	\$451.42 million	\$335,630	no minimum	1,345	Douglas Dawson, Private Wealth Advisor	Northwestern Mutual 1987
16	<b>WJ Interests LLC</b>  wjwealth.com/	2333 Town Center Dr., #100 Sugar Land, TX 77478 281-634-9400	\$430 million	\$2.15 million	\$500,000	208	Jared Jameson, CEO/Partner jared@wjwealth.com Bill Jameson, Chairman/Partner bill@wjwealth.com	Independent firm 1996
17	<b>Sequent Asset Management LLC</b>  sequentasset.com	952 Echo Ln., #312 Houston, TX 77024 713-467-0008	\$334.09 million	\$22.27 million	no minimum	15	David Doll, founder/CEO ddoll@sequentasset.com Tim Hartzell, founder/CEO thartzell@sequentasset.com	Independent firm 2008
18	<b>Manske Wealth Management</b>  manskewealth.com	1010 N. San Jacinto, #200 Houston, TX 77002 713-581-1994	\$310.93 million	\$1.06 million	\$150,000	267	Christopher Manske, president admin@manskewealth.com	Independent firm 2012
19	<b>CAPTRUST</b>  captrust.com	777 S. Post Oak Ln, #2055 Houston, TX 77056 713-683-3818	\$305.84 million	\$2.33 million	no minimum	131	John Matejka, VP/financial advisor john.matejka@captrust.com David Stix, SVP/financial advisor David.Stix@captrust.com	Independent firm 2003
20	<b>Horizon Wealth Advisors LLC</b>  horizon-advisors.com	2603 Augusta Dr., #1400 Houston, TX 77057 713-748-7000	\$288 million	\$2.4 million	no minimum	121	Owen Murray, director of investments omurray@horizon-advisors.com Lawrence Maddox, president	Independent firm 1999
21	<b>Branson, Fowlkes &amp; Company Inc.</b>  bransonfowlkes.com	19 Briar Hollow Ln., #130 Houston, TX 77027 713-780-0606	\$280 million	\$1.99 million	\$250,000	173	Jay Branson, co-founder/CEO jbranson@bransonfowlkes.com Maco Fowlkes, co-founder mfowlkes@bransonfowlkes.com	Independent firm 1991
22	<b>Patron Partners LLC</b>  patronpartners.com	11700 Katy Fwy, #100 Houston, TX 77079 713-244-8848	\$232.75 million	\$1.6 million	\$500,000	158	Rick Figueroa, CEO rick.figueroa@patronpartners.com	Independent Firm: Patron Partners LLC 2020
23	<b>Altruvista</b>  altruvistawealth.com	3555 Timmons Ln., #915 Houston, TX 77027 713-581-2440	\$218.61 million	\$3.76 million	no minimum	65	Ali Nasser, CEO anasser@altruvistawealth.com	Independent firm 2009
24	<b>OakStreet Capital Management</b>  oakstreetcapital.com	700 Milam St., Pennzoil Place, #1200, N. Tower Houston, TX 77002 832-428-8965	\$200 million	\$1.05 million	no minimum	215	Jeffrey Vanover, SVP, Private Client Group	HilltopSecurities Inc. 2004
25	<b>Stegent Equity Advisors Inc.</b>  StegentEquity.com	24 Greenway Plz., #515 Houston, TX 77046 713-840-9300	\$191.87 million	\$1.94 million	\$250,000	99	Loyd Stegent, president Loyd@StegentEquity.com Steven "Chuck" Bates, wealth advisor Chuck@StegentEquity.com	Independent firm 1995

<sup>†</sup> Some entities on The List are practices within larger companies. The company name is listed, and "independent firm" designates firms that are legally independent entities.